

# Equational Theories and Monads from Polynomial Cayley Representations

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`pl-uwr.bitbucket.io/caymon/`

## Recipe for monads (a recap from Gordon's talk)

- Take any (finitary) equational theory  $(\Sigma, E)$  you can imagine,
- Take the equivalence  $\sim$  induced by the equations,
- Your monad is given by  $\Sigma^E A = [\Sigma^* A]_{\sim}$ ,
- The monadic structure is induced by freeness.



If you're a set-theorist  
or maybe a HoTT person



If you're a Haskell  
programmer

## The puzzle for this beautiful morning is...

Which monads can I implement in, say, Haskell using

$+$ ,  $\times$ ,  $\rightarrow$ ,  $\forall$ ,  $\exists$ ?

It is a **serious question**, about the very nature of the connection of different equational theories and computation.

**Sadly**, it is a horribly difficult question!

## What do other people with undecidable problems?

**METHOD 1:** Ignore altogether

Examples: UndecidableInstances, C++ templates

**METHOD 2:** Investigate specific cases. E.g., satisfiability:

FOL is undecidable ❌

FOL with 2 variables is decidable ✅

FOL with 2 variables and 2 transitive relations is not ❌

FOL with 2 variables and 1 transitive relation is... ???

## What are the specific cases that we can examine?

- ✗ Equational theories in general
- ✗ Possible implementations of monads
- ✓ Types that are always equipped with canonical monadic structure

In particular...

$$\mathbf{M\ a = (a \rightarrow X) \rightarrow X}$$

is a monad for all **types X**

In particular...

$$\mathbf{M} \mathbf{a} = \forall \mathbf{x}. (\mathbf{a} \rightarrow \mathbf{F} \mathbf{x}) \rightarrow \mathbf{F} \mathbf{x}$$

is a monad for all **functors F**

In particular...

$$\mathbf{M} \mathbf{a} = \forall \mathbf{x}. (\mathbf{a} \rightarrow \mathbf{F} \mathbf{x} \mathbf{x}) \rightarrow \mathbf{F} \mathbf{x} \mathbf{x}$$

is a monad for all **mixed-variance bifunctors** **F**



$$\mathbf{M a} = \forall \mathbf{x}. (\mathbf{a} \rightarrow \mathbf{F x x}) \rightarrow \mathbf{F x x}$$

as in

$$\mathbf{List a} = \forall \mathbf{x}. (\mathbf{a} \rightarrow (\mathbf{x} \rightarrow \mathbf{x})) \rightarrow (\mathbf{x} \rightarrow \mathbf{x})$$

or

$$\mathbf{State s a} = \forall \mathbf{x}. (\mathbf{a} \rightarrow (\mathbf{s} \rightarrow \mathbf{x})) \rightarrow (\mathbf{s} \rightarrow \mathbf{x})$$

## Did I just say State?...

$$\text{State } s \text{ a} = \forall x. (\text{a} \rightarrow s \rightarrow x) \rightarrow s \rightarrow x$$

$$\text{(flip)} \cong \forall x. s \rightarrow (\text{a} \rightarrow s \rightarrow x) \rightarrow x$$

$$\text{(\(\rightarrow\) and \(\forall\))} \cong s \rightarrow \forall x. (\text{a} \rightarrow s \rightarrow x) \rightarrow x$$

$$\text{(Church)} \cong s \rightarrow (\text{a}, s)$$

## The overall idea

(inspired by Ralf Hinze's "Kan extensions for program optimization")

I prove the following (vaguely stated) theorem:

If an equational theory  $\mathcal{T}$  has a  
**well-behaved Cayley representation  $F$** ,  
then the monad

$$\mathbf{M} a = \forall x. (a \rightarrow F x x) \rightarrow F x x$$

is the free monad of  $\mathcal{T}$ .

...reducing(?) the problem of finding implementations of free models of theories to finding implementations of Cayley representations of theories.

## Making the statement of the theorem more precise (1)

Our domain is the category **SET** of sets and functions.

We model our particular polymorphic functions as what **Philip Mulry** calls *strong dinatural transformations*, while **Michael Barr** calls *Barr-dinatural transformations*

## Making the statement of the theorem more precise (2)

A well-behaved Cayley representation of  $\mathcal{T}$  with respect to  $F \dashv U$  consists of the following components: • A bifunctor  $R : \mathbf{Set}^{\text{op}} \times \mathbf{Set} \rightarrow \mathbf{Set}$ , • For each set  $X$ , an object  $\mathbb{R}X$  in  $\mathcal{T}$ , such that  $U\mathbb{R}X = RXX$ , • For all sets  $A, X, Y$  and functions  $f_1 : A \rightarrow RXX, f_2 : A \rightarrow RYY, g : X \rightarrow Y$ , it is the case that

if 
$$\begin{array}{ccc} & RXX & \\ f_1 \nearrow & & \searrow RXg \\ A & & RXY \\ f_2 \searrow & & \nearrow RgY \\ & RYY & \end{array}$$
 commutes, then 
$$\begin{array}{ccc} & RXX & \\ \hat{f}_1 \nearrow & & \searrow RXg \\ UFA & & RXY \\ \hat{f}_2 \searrow & & \nearrow RgY \\ & RYY & \end{array}$$
 commutes. • For each object

$M$  in  $\mathcal{T}$ , a morphism  $\sigma_M : M \rightarrow \mathbb{R}(UM)$  in  $\mathcal{T}$ , such that  $U\sigma_M : UM \rightarrow R(UM)(UM)$  is Barr-dinatural in  $M$ , • A Barr-dinatural transformation  $\rho_M : R(UM)(UM) \rightarrow UM$ , such that  $\rho_M \cdot U\sigma_M = \text{id}$ , • For each set  $X$ , a set of indices  $I_X$  and a family of functions  $\text{run}_{X,i} : RXX \rightarrow X$ , where  $i \in I_X$ , such that  $R(RXX)\text{run}_X$  is a jointly monic family, and the following diagram commutes for all  $X$  and  $i \in I_X$ :

$$\begin{array}{ccc} RXX & \xrightarrow{U\sigma_{RXX}} & R(RXX)(RXX) \\ & \searrow R\text{run}_{X,i} & \downarrow R(RXX)\text{run}_{X,i} \\ & & R(RXX)X \end{array}$$

## So what can I offer you today, exactly?

I can offer you **(many-sorted)** equational theories Cayley-represented by the type

$$\mathbf{F} \mathbf{x} \mathbf{y} = \mathbf{P} \mathbf{x} \rightarrow \mathbf{y}$$

where  $\mathbf{P}$  is a polynomial functor with natural coefficients (= finite sets).

## Polynomial:

$$PX = \sum_{i=1}^d c_i \times X^{e_i}$$

## Operations:

$$\text{cons} : \prod_{i=1}^d K_i^{c_i} \rightarrow \Omega$$

$$\pi_i^j : \Omega \rightarrow K_i, \text{ for } i \leq d \text{ and } j \leq c_i$$

$$\epsilon_i^j : K_i, \text{ for } i \leq d \text{ and } j \leq e_i$$

$$\gamma_i^j : K_j \times K_i^{e_j} \rightarrow K_i, \text{ for } i, j \leq d$$

## Sorts:

$\Omega$  (main sort),

$K_i$ , for all  $i \leq d$

## Equations:

$$\pi_i^j(\text{cons}([\![x_i^j]_{j \leq c_i}]_{i \leq d})) = x_i^j \quad (\text{beta-}\pi)$$

$$\text{cons}([\![\pi_i^j(x)]_{j \leq c_i}]_{i \leq d}) = x \quad (\text{eta-}\pi)$$

$$\gamma_i^j(\epsilon_j^k, [x_t]_{t \leq e_j}) = x_k \quad (\text{beta-}\epsilon)$$

$$\gamma_i^j(x, [\epsilon_i^j]_{j \leq e_i}) = x \quad (\text{eta-}\epsilon)$$

$$\begin{aligned} \gamma_i^j(\gamma_j^k(x, [y_t]_{t \leq e_k}), [z_s]_{s \leq e_j}) \\ = \gamma_i^k(x, [\gamma_j^k(y_t, [z_s]_{s \leq e_j})]_{t \leq e_k}) \quad (\text{assoc-}\gamma) \end{aligned}$$

## Example: $Px = n$

### Sorts:

$\Omega, K$

### Operations:

$\pi^t : \Omega \rightarrow K \quad (t \leq n)$

$\text{cons} : K^n \rightarrow \Omega$

### Equations:

$\pi^t(\text{cons}([x_i]_{i \leq n})) = x_t$

$\text{cons}([\pi^i(x)]_{i \leq n}) = x$

### Macro-operations:

$\text{put}^t : \Omega \rightarrow \Omega$

$\text{get} : \Omega^n \rightarrow \Omega$

$\text{put}^t(x) = \text{cons}([\pi^t(x)]_n)$

$\text{get}([x_i]_{i \leq n}) = \text{cons}([\pi^i(x_i)]_{i \leq n})$



## Example: $Px = n$

$put^j(put^k(x))$

= (definition of put)

$cons([\pi^j(cons([\pi^k(x)]_n))]_n)$

= (beta- $\pi$ )

$cons([\pi^k(x)]_n)$

= (definition of put)

$put^k(x)$

$put^j(get([x_i]_{i \leq n}))$

= (definition of get)

$put^j(cons([\pi^i(x_i)]_{i \leq n}))$

= (definition of put)

$cons([\pi^j(cons([\pi^i(x_i)]_{i \leq n}))]_n)$

= (beta- $\pi$ )

$cons([\pi^j(x_j)]_n)$

= (definition of put)

$put^j(x_j)$

## Effects

(Ohad, please put on red glasses. Jeremy, please put on blue glasses)

<b>Px</b>	<b>Effect</b>
$x$	Nondeterminism
$n$	State
$nx$	Nondeterminism with local/provisional state
$x^n$	Nondeterminism with global/persistent state
$nx^p$	Nondeterminism with both local/provisional state and global/persistent and state
$nx^p + mx^q$	Nondeterminism with global/persistent state dependent on the local/provisional state

## Lessons...

I was surprised to see state

...yet alone the appropriate combinations of state and nondeterminism

The formula produced a novel (at least to me) presentation of state in terms of 2-sorted theory of tupling and projections

The formula produced a novel (at least to me) presentation of **local/provisional** state – one I probably wouldn't write from the top of my head

Note to self:

You were supposed to show the **Caymon** tool, but I guess you're out of time by now!

`pl-uwv.bitbucket.io/caymon/`