

# Effect handlers for a low-level stack machine

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# WebAssembly a.k.a. Wasm

a virtual instruction set architecture

a universal low-level virtual machine

near-native performance

can be embedded anywhere

# strategic roadmap

v1 (2017): support **low-level** languages

v2 (2019+): support **high-level** languages

# big new features

tail calls

exceptions

garbage collection

continuations

(threads – stalled by Spectre)

# design goals & constraints

semantics

language-independent

platform-independent

hardware-independent

fast to execute

safe to execute

deterministic

easy to reason about

representation

compact

easy to generate

fast to decode

fast to validate

fast to compile

streamable

parallelisable



# stack machine

**(local.get \$x)** :  $\varepsilon \rightarrow \Gamma(\$x)$   
**(i32.const 42)** :  $\varepsilon \rightarrow i32$   
**(i32.add)** :  $i32\ i32 \rightarrow i32$  } :  $\varepsilon \rightarrow i32$

# structured control flow

$\$l : t_2^*$

$\$l : t_1^*$

(**block**  $\$l$  ( $t_1^* \rightarrow t_2^*$ )

...

(**br**  $\$l$ ) :  $t_2^* \rightarrow \perp$

...

)

(**loop**  $\$l$  ( $t_1^* \rightarrow t_2^*$ )

...

(**br**  $\$l$ ) :  $t_1^* \rightarrow \perp$

...

)





```
switch (x) {  
  case 0: A; break;  
  case 1: B; break;  
}
```

```
(block $switch ( $\epsilon \rightarrow \epsilon$ )  
  (block $case0 ( $\epsilon \rightarrow \epsilon$ )  
    (block $case1 ( $\epsilon \rightarrow \epsilon$ )  
      (local.get $x)  
      (br_table $case0 $case1 $switch)  
    )  
    (A) (br $switch)  
  )  
  (B) (br $switch)  
)
```

# exception proposal

nominal exceptions, im/exportable

throw, try-catch, br\_on\_exn

type of exn packages

(**exception**  $e$   $t^*$ )

(**throw**  $e$ ) :  $t^* \rightarrow \perp$

(**try** ( $t_1^* \rightarrow t_2^*$ )  
... :  $t_1^* \rightarrow t_2^*$

**catch**

... :  $exn \rightarrow t_2^*$   
)

(**br\_on\_exn**  $l$   $e$ ) :  $exn \rightarrow exn$   
(iff  $l : t^*$ )

```
(exception $e1 i32)
```

```
(exception $e2 i32 i32)
```

```
(i32.const 1) (i32.const 1) (throw $e2)
```

```
(try $l (i32 → i32)
```

```
  (call $f)      ;; $f : i32 → i32
```

```
catch
```

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...
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```
(exception $e1 i32)
(exception $e2 i32 i32)

(i32.const 1) (i32.const 1) (throw $e2)
```

```
(try $l (i32 → i32)
  (call $f)      ;; $f : i32 → i32
catch
  (block $l1 (exn → i32)
    (block $l2 (exn → i32 i32)
      (br_on_exn $l1 $e1)
      (br_on_exn $l2 $e2)
      ...
    )
    ... ;; handle $e2
  )
  ... ;; handle $e1
)
```

```
(exception $e1 i32)
(exception $e2 i32 i32)

(i32.const 1) (i32.const 1) (throw $e2)
```

```
(try $l (i32 → i32)
  (call $f)      ;; $f : i32 → i32
catch
  (block $l1 (exn → i32)
    (block $l2 (exn → i32 i32)
      (br_on_exn $l1 $e1)
      (br_on_exn $l2 $e2)
      ...
    )
    (i32.add) (br $l)  ;; handle $e2
  )
  (i32.neg) (br $l)   ;; handle $e1
)
```

```
(exception $e1 i32)
(exception $e2 i32 i32)

(i32.const 1) (i32.const 1) (throw $e2)

(try $l (i32 → i32)
  (call $f)      ;; $f : i32 → i32
catch
  (block $l1 (exn → i32)
    (block $l2 (exn → i32 i32)
      (br_on_exn $l1 $e1)
      (br_on_exn $l2 $e2)
      (rethrow)      ;; propagate
    )
    (i32.add) (br $l)  ;; handle $e2
  )
  (i32.neg) (br $l)   ;; handle $e1
)
```

# effect handlers

enable compilation of control abstractions

sell as a generalisation of exceptions

that provides efficient stack switching

don't mention "algebraic" :)



(**exception**  $\$e$   $t^*$ )

(**throw**  $\$e$ ) :  $t^* \rightarrow \perp$

(**try** ( $t_1^* \rightarrow t_2^*$ )

...

:  $t_1^* \rightarrow t_2^*$

**catch**

...

:  $\text{exn} \rightarrow t_2^*$

)

(**br\_on\_exn**  $\$l$   $\$e$ ) :  $\text{exn} \rightarrow \text{exn}$   
(iff  $\$l : t^*$ )

(**exception**  $\$e$  ( $t^* \rightarrow t'^*$ ))

(**throw**  $\$e$ ) :  $t^* \rightarrow \perp$

(**try** ( $t_1^* \rightarrow t_2^*$ )

... :  $t_1^* \rightarrow t_2^*$

**catch**

... :  $\text{exn} \rightarrow t_2^*$

)

(**br\_on\_exn**  $\$l$   $\$e$ ) :  $\text{exn} \rightarrow \text{exn}$   
(iff  $\$l : t^*$ )

(**exception**  $\$e$  ( $t^* \rightarrow t'^*$ ))

(**throw**  $\$e$ ) :  $t^* \rightarrow t'^*$

(**try** ( $t_1^* \rightarrow t_2^*$ )

...

**catch**

...

)

:  $t_1^* \rightarrow t_2^*$

:  $exn \rightarrow t_2^*$

(**br\_on\_exn**  $\$l$   $\$e$ ) :  $exn \rightarrow exn$   
(iff  $\$l : t^*$ )

(**exception**  $\$e$  ( $t^* \rightarrow t'^*$ ))

(**throw**  $\$e$ ) :  $t^* \rightarrow t'^*$

(**try** ( $t_1^* \rightarrow t_2^*$ )

...

:  $t_1^* \rightarrow t_2^*$

**catch**

...

:  $\text{exn} \rightarrow t_2^*$

)

(**br\_on\_exn**  $\$l$   $\$e$ ) :  $\text{exn} \rightarrow \text{exn}$   
(iff  $\$l : t^*$ )

(**resume**)

(**exception**  $\$e$  ( $t^* \rightarrow t'^*$ ))

(**throw**  $\$e$ ) :  $t^* \rightarrow t'^*$

(**try** ( $t_1^* \rightarrow t_2^*$ )

...

**catch**

...

)

:  $t_1^* \rightarrow t_2^*$

:  $\text{exn} \rightarrow t_2^*$

(**br\_on\_exn**  $\$l$   $\$e$ ) :  $\text{exn} \rightarrow \text{exn}$   
(iff  $\$l : t^*$ )

(**resume**) : ( $\text{cont } (t'^* \rightarrow t_2^*)$ )  $t'^* \rightarrow t_2^*$

(**exception**  $\$e$  ( $t^* \rightarrow t'^*$ ))

(**throw**  $\$e$ ) :  $t^* \rightarrow t'^*$

(**try** ( $t_1^* \rightarrow t_2^*$ )

...

**catch**

...

)

:  $t_1^* \rightarrow t_2^*$

:  $\text{exn} \rightarrow t_2^*$

(**br\_on\_exn**  $\$l$   $\$e$ ) :  $\text{exn} \rightarrow \text{exn}$   
(iff  $\$l$  :  $t^* (\text{cont } (t'^* \rightarrow t_2^*))$ )

(**resume**) :  $(\text{cont } (t'^* \rightarrow t_2^*)) t'^* \rightarrow t_2^*$

(**exception**  $\$e$  ( $t^* \rightarrow t'^*$ ))

(**throw**  $\$e$ ) :  $t^* \rightarrow t'^*$

(**try** ( $t_1^* \rightarrow t_2^*$ )

...

**catch**

...

)

:  $t_1^* \rightarrow t_2^*$

: ( $\text{exn } t_2^*$ )  $\rightarrow t_2^*$

(**br\_on\_exn**  $\$l$   $\$e$ ) : ( $\text{exn } t_2^*$ )  $\rightarrow$  ( $\text{exn } t_2^*$ )  
(iff  $\$l$  :  $t^*$  ( $\text{cont } (t'^* \rightarrow t_2^*)$ ))

(**resume**) : ( $\text{cont } (t'^* \rightarrow t_2^*)$ )  $t'^* \rightarrow t_2^*$

# operational semantics

we have defined an operational semantics

handlers are *shallow*

(already have recursion/loops)

continuations are *affine*

(cheaper, engines cannot always copy stacks)



# open design choices

lacks return clause, not properly algebraic  
(how important is it in this setting?)

catch clause is catch-all  
(should probably add a filter list)

# implementation & performance

**try** needs to create new stack upon entry  
to enable delayed resumption

want to pay only when necessary

additional annotations

(**exception** resumable  $\$e$  ( $t^* \rightarrow t'^*$ ))

(**throw** resumable  $\$e$ )

(**try** resumable ( $t_1^* \rightarrow t_2^*$ )

...

**catch**

...

: (exn resumable  $t_2^*$ )  $\rightarrow t_2^*$

)

(**br\_on\_exn**  $\$l$   $\$e$ ) : (exn resumable  $t_2^*$ )  $\rightarrow$  (exn resumable  $t_2^*$ )  
(iff  $\$l$  :  $t^*$  (**cont** ( $t'^* \rightarrow t_2^*$ )))

(**resume**) : (**cont** ( $t'^* \rightarrow t_2^*$ ))  $t'^* \rightarrow t_2^*$

# implementation & performance

at this point, effects are almost entirely a  
separate from exceptions...

(**effect** \$e (t\* → t'\*))

(**perform** \$e)

(**run** (t<sub>1</sub>\* → t<sub>2</sub>\*))

...

**handle**

...

)

: (eff t<sub>2</sub>\*) → t<sub>2</sub>\*

(**br\_on\_eff** \$l \$e) : (eff t<sub>2</sub>\*) → (eff t<sub>2</sub>\*)  
(iff \$l : t\* (cont (t'\*) → t<sub>2</sub>\*))

(**resume**) : (cont (t'\*) → t<sub>2</sub>\*) t'\*) → t<sub>2</sub>\*